

## **Business Continuity Plan: Summary**

Envestnet Asset Management, Inc. and its affiliates have developed a Business Continuity Plan (BCP) to address a possible business disruption, emergency or catastrophic event. While it is impossible to forecast every potential problem that could occur, Envestnet believes it has taken reasonable precautions to enable it to resume business operations under a variety of scenarios. If Envestnet's offices or immediate surroundings were to become unsuitable for operations, Envestnet intends to continue business under the provisions of the plan.

So that we can respond to events as they occur, we have identified functions critical to daily operations. Among other things, these functions include: data back up and recovery; maintenance of all mission critical systems; financial and operational processing; alternative communications plans for employees and regulators; alternate physical location of employees; measurement of potential effects on critical suppliers, contractors, banks and counter-parties and regulatory reporting. In the event of a disruption, we intend to deliver these critical functions from an alternate Envestnet office or a third-party service provider will deliver them to you. We require service providers who provide these essential services to meet our business continuity requirements.

Envestnet will update the BCP where necessary to reflect material changes to our business and critical business processes. In addition, we will review the BCP annually and make any necessary changes.